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U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

**SUPPLEMENTAL INFORMATION  
DISCLOSURE STATEMENT**

Docket Number  
**10020/20702**

Application Number  
**09/978,455**

Filing Date  
**October 16, 2001**

Examiner  
**YAMNITZKY, Marie**

Art Unit  
**1774**

Invention Title  
**ORGANOMETALLIC COMPOUNDS AND  
EMISSION-SHIFTING ORGANIC  
ELECTROPHOSPHORESCENCE**

Inventor(s)  
**LAMANSKY et al.**

Address to:  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450  
**VIA FACSIMILE**

Certificate of Transmission

I hereby certify that this correspondence is being facsimile  
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Dated: August 25, 2003

Signature: *Kevin T. Godlewski*  
Kevin T. Godlewski (Reg. No. 47,598)

Sir:

1. In accordance with the duty of disclosure under 37 C.F.R. § 1.56 and in conformance with the procedures of 37 C.F.R. §§ 1.97 and 1.98 and M.P.E.P. § 609, attorneys for Applicants hereby bring the following references to the attention of the Examiner. The references are listed on the attached modified PTO Form No. 1449. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.
2. A copy of each patent, publication or other information listed on the modified PTO form 1449 is enclosed, except for the U.S. Patent Application Publication No. 2002/0034656 A1. In a telephone conversation of August 22, 2003 with the undersigned attorney, Examiner Yamnitzky indicated that in order to facilitate facsimile transmission of this Supplemental Information Disclosure Statement, a copy of this U.S. Patent Application Publication No. 2002/0034656 A1 need not be supplied and that she could obtain a copy thereof on her own.
3. Under "Other Documents" in the attached PTO form 1449, Applicants submit (1) an Abstract entitled "Optical Properties of Pt (II) Cyclometalated Complexes in Polymer Matrices, Preparation and Potential Uses in OLEDs," dated March 21-25, 1999; and (2) an Internet published schedule of the 217<sup>th</sup> ACS National Meeting at which the aforementioned Abstract was presented, indicating that the presentation took place on March 23, 1999.

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4. The filing of this Supplemental Information Disclosure Statement and the enclosed PTO Form No. 1449, shall not be construed as an admission that the information cited is prior art, or is considered to be material to patentability as defined in 37 C.F.R. § 1.56(b).
5. The Commissioner is hereby authorized to charge payment of the 37 C.F.R. §1.17(p) (submission of an information disclosure statement under §1.97(c)) fee of \$180.00 and any additional fees that may be required to the deposit account of **Kenyon & Kenyon**, deposit account number **11-0600**.

Dated: August 25, 2003

By:

  
Kevin T. Godlewski (Reg. No. 47,598)

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